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5 **A case study of the evolution of a Kelvin-Helmholtz wave and turbulence**
 6 **in noctilucent clouds**
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25 **Abstract**

26 Bright and extensive noctilucent clouds (NLC) were observed in Århus (Denmark) on 3/4
 27 July of 2008 with an automatic digital camera taking images every minute. This event was unique
 28 in the sense that bright NLC were seen at high elevation angles (more than 30 degrees) that
 29 allowed observing the evolution of a Kelvin-Helmholtz (KH) wave, resulted in well-developed
 30 turbulence. In particular, coherent vortex structures of a horseshoe-shaped form were observed
 31 for the first time in noctilucent clouds. The turbulent diffusion coefficient and turbulent energy
 32 dissipation rate around the mesopause are estimated in the range of 162-667 m²/s and 300-1235
 33 mW/kg, respectively, representing a case of strong neutral air turbulence in noctilucent clouds.
 34 Turbulent structures were observed to be in the vicinity of breaking small-scale gravity waves
 35 that seems to be responsible for a high level of turbulence.

36 At the same time, it has been demonstrated that it is of importance to take into account non-
 37 turbulent process such as the gravity wave motion that is always present in NLC layers. Unless
 38 non-turbulent process is taken into account, this certainly leads to overestimating of the value of
 39 the turbulent diffusion coefficient. More accurate characteristics of turbulence in NLC can be
 40 obtained by analyzing a sequence of high-resolution images with a high frame-rate high-
 41 resolution digital camera.
 42

43 *Keywords:* Noctilucent clouds, Atmospheric turbulence, Mesospheric dynamics
 44
 45

46 **1. Introduction**

47
48 Noctilucent clouds (NLC) are the highest clouds in the Earth's atmosphere, observed close to
49 the mesopause in the 80–90 km altitude range. These clouds are a beautiful night-time optical
50 phenomenon occurring during the summer months at mid- and high latitudes. NLC consist of
51 water ice particles of 30–100 nm in radius that scatter sunlight and thus NLCs are readily seen
52 against the dark twilight arc from May until September (Gadsden and Schröder, 1989).

53 NLC almost always exhibit a wave surface represented by a complex interplay of gravity
54 waves of small-, medium- and large scales. Small-scale billow waves with wavelength of 5-10
55 km (similar to that of billows in tropospheric clouds) are caused by wind shear around the
56 mesopause (Hines, 1968; Haurwitz and Fogle, 1969; Grishin and Kurilova, 1973; Kuhnke, 1976).
57 These billow waves represent the Kelvin-Helmholtz instability which is a common phenomenon
58 in the lower and middle atmosphere (Fritts and Rastogi, 1985). Sometimes one can see dark holes
59 which seem to be signatures of turbulent processes around the mesopause (Witt, 1962).

60 The KH instability has been numerously investigated with analytic techniques (for example,
61 Drazin, 1970; Kelly and Maslowe, 1970; Corcos and Sherman, 1976), numerical simulations (for
62 example, Tanaka, 1975; Patnaik et al., 1976; Peltier et al., 1978; Fritts, 1982; Palmer et al., 1996)
63 as well as in laboratory studies (Thorpe, 1968, 1971, 1973; Scotti and Corsos, 1972; Browand
64 and Winant, 1973). Characteristics of KH waves have been obtained in the atmosphere with
65 tropospheric and noctilucent cloud observations (Witt, 1962; Ludlam, 1967; Haurwitz and Fogle,
66 1969) and radar measurements (Hicks and Angell, 1968; Gossard et al., 1971; Browning and
67 Watkins, 1970).

68 In spite of a wealth of information on theoretical and laboratory studies of the KH-wave, we
69 could not find in literature a detailed description on evolution of the KH-wave in NLC. The
70 purpose of the present paper is to trace in detail a formation, development and decay of a KH
71 wave and successive turbulence in NLC. Also, for the first time we demonstrate that using a
72 simple time lapse photographic technique it is possible to estimate correctly the turbulent
73 diffusion coefficient (taking into account the wave motion) by tracing the changes of turbulent
74 areas associated with a KH wave.

75

76 **2. The technique used**

77
78 In order to estimate the dynamical parameters of NLC in three-dimensional space from
79 photographs, one needs observations made from two points. Unfortunately, in the present case we
80 have only a single point observation from Aarhus (Denmark). Nevertheless we can estimate
81 dynamical characteristics in a horizontal plane because the altitude range of NLC is well known.
82 The previous photogrammetric works (Burov, 1959; Witt, 1962) and present lidar observations
83 (Nussbaumer et al., 1996) show that the majority of NLC are quite stable in altitude and vary
84 between 80 and 85 km. Thus, it is adequate to use the median value of 82.9 km (Gadsden and
85 Schröder, 1989) to estimate the NLC characteristics in the horizontal plane. Uncertainty of 2 km
86 in altitude yields a small relative error of 2-3% in calculating of the horizontal extent of the NLC
87 fine structure and its velocity. The velocity of the NLC detail is defined by its displacement
88 during the time between two successive images taken in one minute. The photogrammetric
89 measurements have been performed by using the positions of the stars, which are the most
90 precise reference points to determine elevation and azimuthal angles of a selected object. The
91 elevation angle of an object has been corrected for atmospheric refraction since NLC are
92 observed at low angles above the horizon; the Laplace formula has been used for calculation of

93 astronomical refraction. The projection of the NLC detail has been made on the spherical earth
 94 taking into account the dependence of the meridional and normal radius of curvature on latitude
 95 of a projected point. Also, the elevation of the observation point above sea level has been taken
 96 into account. The mathematical description of this technique can be found in Dalin et al. (2004).
 97

98 **3. The features of the analyzed NLC display**

99
 100 A bright and extensive NLC were observed on 3/4 July of 2008 by the automatic NLC
 101 camera placed in Aarhus (Denmark). This camera is one of the six cameras located along the
 102 same latitude band (54-56°N) around the globe. Each camera operates from the end of May until
 103 middle of August and takes images every 1 minute in night time during high NLC season (June
 104 10 – July 25). The detailed description of the network of NLC cameras and operation schedule is
 105 given by Dalin et al. (2008). The interested reader is recommended to download the original
 106 images as well as the movie to look in detail at the studied NLC display from the following
 107 Internet resource:

108 <ftp://ftp.irf.se/pub/tmp/outgoing/pdalin/NLC/DENMARK/2008/2008-07-04/>
 109

110 Further we keep the numbering of the original images to allow the reader to compare images
 111 considered in the paper with original ones.

112 Note the following features inherent to this display, please refer to both Plate 1 and the series
 113 of images available on the website for comprehensively understanding of the NLC motions. The
 114 bulk of NLC field was moving NE to SW at rather high apparent speed. The NLC field was
 115 extended both from north to south and from east to west, and covered almost all the available
 116 area of the image ($55.7 \times 41.8^\circ$) between 03:00 and 04:00 LT, with the veil modulated by wave
 117 systems of bands and billows. The last point is of importance for considerations presented below.
 118 Long stationary (relative to the ground) bands of 100-170 km length were also seen at about 4-8°
 119 above the horizon with a wavelength of 25-30 km, with other shorter bands and billows passing
 120 visually through the stationary waves, representing likely multiple layers of NLC.

121 The present display was characterized by rather large speeds of the motion varying from 59 to
 122 165 m/s. The measured points and their speeds are marked in Plate 1 (Image 274). Note that such
 123 high speeds of NLC were typically observed when the clouds were seen coming from the north or
 124 northeast (Gadsden and Schröder, 1989). Also note that the velocities of NLC were found to vary
 125 from 18 to 262 m/s in a large number of observations by Burov (1967).

126 The dark holes were seen from time to time (see Plates 2 and 3) close to billow crests as well
 127 as slightly apart of crests. In the present case we could observe such hole patterns at high
 128 elevation angles from 33 to 38° (the upper limit of the image bound), which is a big advantage of
 129 the regarded case since one can measure with a good accuracy their spatial scales (spatial
 130 resolution of the image at elevation angle of 35° is 135 meters) as well as trace their appearance
 131 and disappearance and be sure that such hole patterns are not caused by superposition of small-
 132 scale waves. Similar holes were observed by Witt (1962) but he could not deduce their properties
 133 due to their location at low elevation; at the same time he suggested that such patterns might be
 134 caused by small-scale turbulence.

135 Images 275-279 as well as 287 and 288 illustrate a very interesting feature of turbulence
 136 regime, namely, occurrence of horseshoe-shaped vortex structures. We could not find a
 137 description in literature of such structures in noctilucent clouds and therefore we are supposedly
 138 observing them for the first time in NLC. Description of these structures will be given later in the
 139 Discussion.



140
141

142 **Plate 1 (Image 274).** The NLC display seen in Aarhus (Denmark) on 3/4 July 2008. Points
143 show the ground speed (m/s) of the NLC details. The dashed area is analyzed in detail. The field
144 of view of the image is $55.7 \times 41.8^\circ$; the field of view of the dashed area is $21.2 \times 9.4^\circ$.

145

146 **4. Analysis of the evolution of the Kelvin-Helmholtz wave**

147

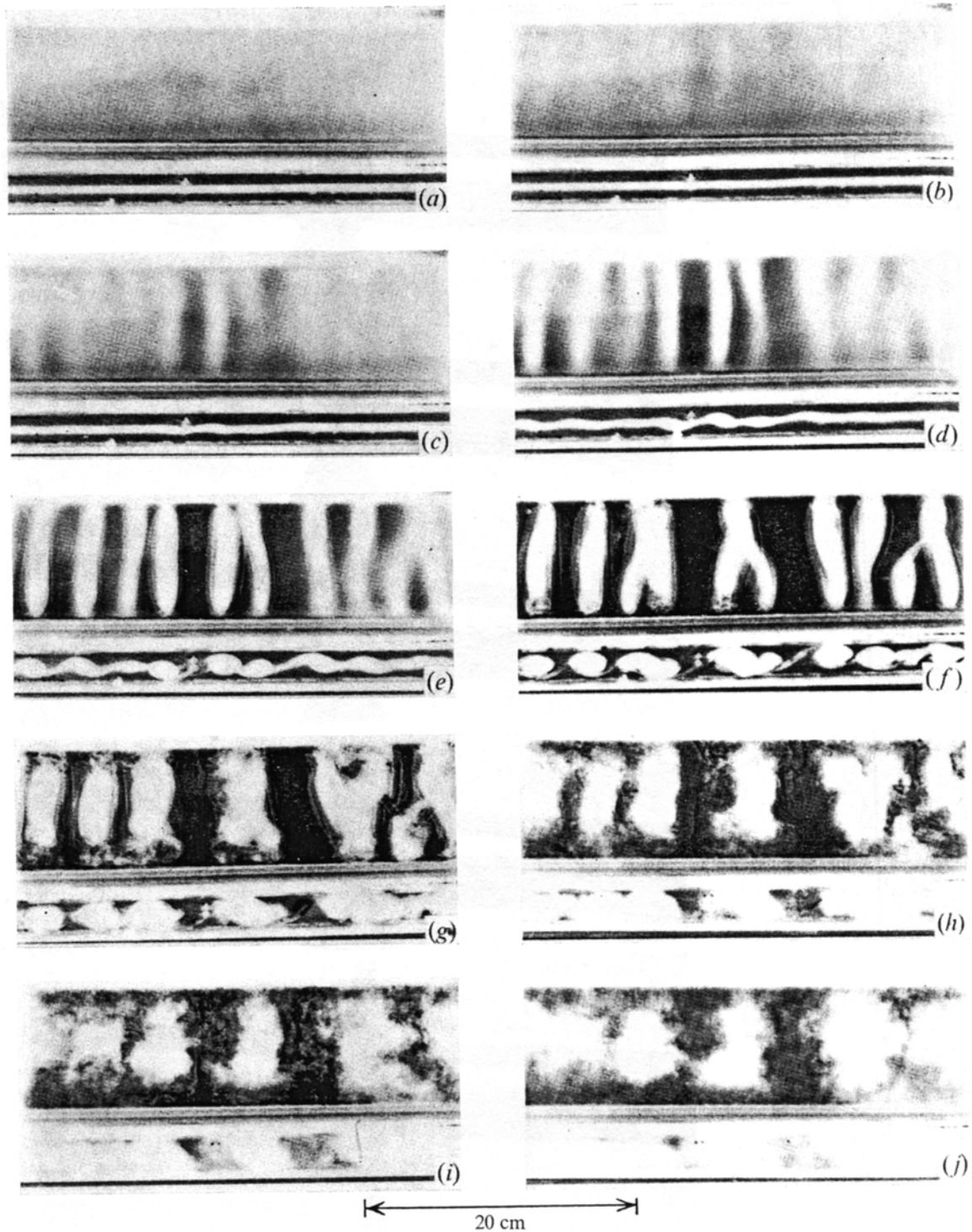
148 The formation, development and decay of the Kelvin-Helmholtz waves have been observed at
149 high elevation angles (between 33 and 38°) in the images 270-279 (Plate 2) during 9 minutes.
150 The area analyzed with the KH billows is bounded by the dashed line in Image 274. The life
151 cycle of the KH billows and successive turbulence in NLC are very similar to the laboratory
152 experiment performed by Thorpe (1971) (their Fig. 5), which are compared in details step by step
153 below.

154

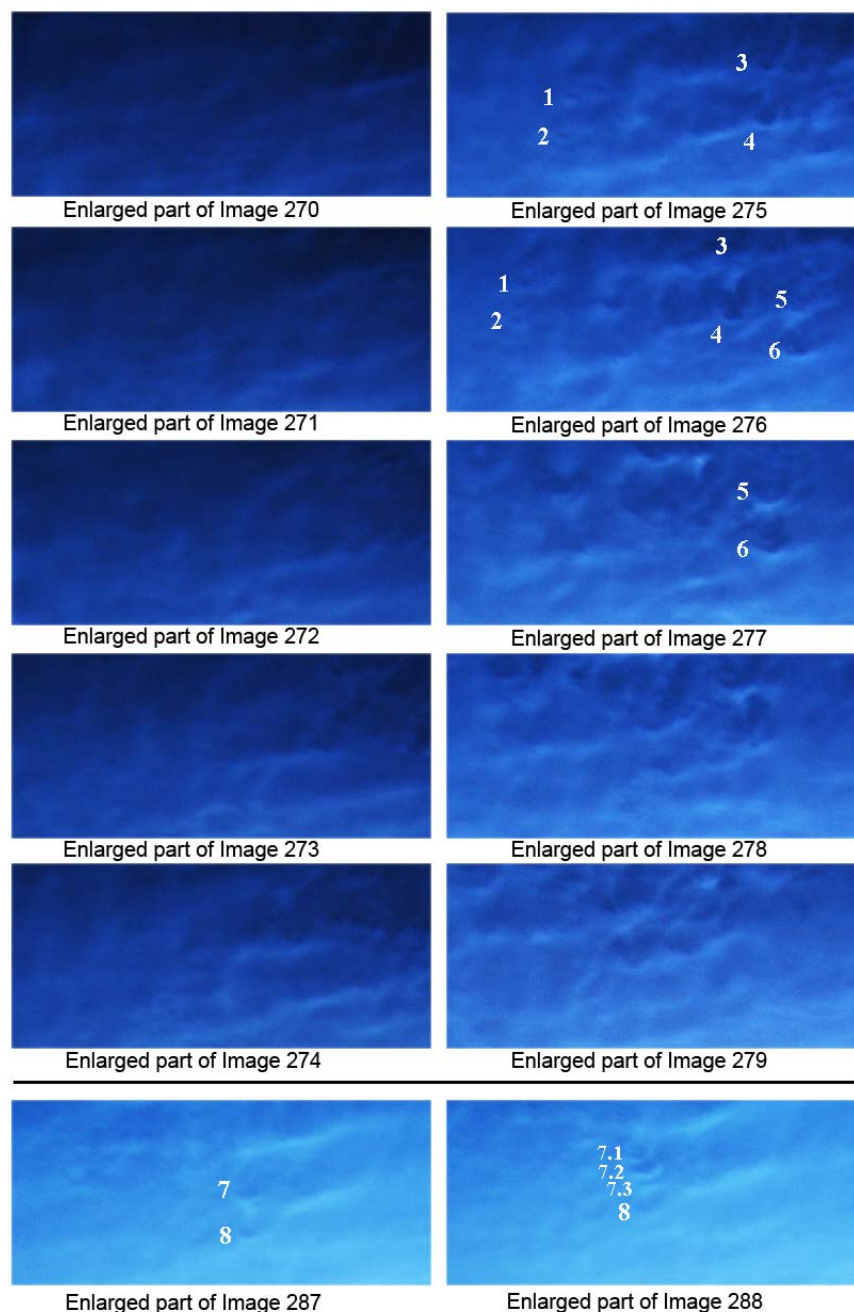
- 155 1. The wide crests of low intensity (due to small wave amplitude and hence low optical depth)
156 appear on the enlarged parts of Images 270 and 271; similar characteristics of a forming wave
157 appear on the Panels from A to D of Fig. 1.
- 158 2. The crests become narrower and brighter (Images 272, 273, 274, 275) due to the increasing of
159 wave amplitude and hence due to increase of optical depth (note that we look at the billows
160 from below and at high elevation angle). Similar increase of wave amplitude and narrowing
161 of width of the KH wave in the laboratory experiment are seen on Panels C, D, E and F of
162 Fig. 1. The relative brightness variations of most pronounced two NLC billows are shown in
163 Fig. 2. The relative brightness of the billows was estimated by subtracting average digital
164 background brightness from average digital brightness of the billows. The brightness

165 continuously increases during first 5-6 minutes and then starts to oscillate around a mean
166 value due to the saturation of the NLC brightness (limited by the number of ice particles in a
167 wave crest along the line of sight). Note that after 5-6 minutes, the billows are observed to be
168 represented by splintered curves. The variation of brightness of the NLC billows is in a good
169 agreement with the variation of density and horizontal velocity of the billows' environment in
170 the laboratory experiment by Thorpe (1973) (Fig. 12), which demonstrates an increase of the
171 density and velocity through billows at the initial stage, and then density and velocity
172 oscillations due to turbulent areas.

- 173 3. Image 273 shows the bifurcation of the first (upper) billow at its end. The same structure is
174 observed in the laboratory billows viewed in the spanwise direction (Figs. 1F and 1G).
175 The billow waves move with slower ground speed compared to ambient areas of NLC. The
176 ground speed of the KH-waves is between 88 and 97 m/s whereas neighbouring areas of NLC
177 move with ground speeds of 120-160 m/s (see Image 274). Thus there is at least a horizontal
178 wind shear in the area containing the billows.
- 179 4. The wavelength of the billow waves lies between 10 and 12 km. Such wavelengths are typical
180 for NLC waves caused by the wind shear (Hines, 1968; Grishin and Kurilova, 1973; Gadsden
181 and Schröder, 1989). The lifetime of this system of billows is about 6-7 minutes which are
182 typical life times of NLC billows (Haurwitz and Fogle, 1969).
- 183 5. Two dark holes (marked by 3 and 4), bounded by horseshoe-like loops, occur at the two
184 billows (Images 275 and 276) which are signatures of formation of coherent vortical
185 structures; diameter of these vortices is 1650 m and 2400 m, respectively. Note that these two
186 holes (3 and 4 in Image 275) are not chaotically located but oriented along the same line
187 perpendicular to the wave phase front. A similar loop, represented by the dark rounded
188 structure, occasionally occurred at the edges of laboratory billows viewed in the spanwise
189 direction (in the middle of Fig. 1H). Another two larger horseshoe-like loops (marked by 5
190 and 6 in Images 276 and 277) occur at the top of the two billows; diameter of these structures
191 is 3550 m and 4600 m, respectively. Also, Images 275 and 276 demonstrate at least two jets
192 and two arcs, comprised by NLC particles, originated from the billows and oriented
193 approximately in opposite direction to the apparent motion of the billows (see Fig. 3). These
194 NLC jets and arcs are the unstable parts of the KH wave.
- 195 6. The continuous regular billows are fragmented in Images 277, 278, 279 leaving a mixture of
196 the splintered curves, vorticity structures of 1.5-4.6 km in diameter as well as chaotic
197 lacerated dark spots all of which are the signatures of well-developed turbulence. Laboratory
198 billows become wider with lacerated edges; a turbulent mixture is seen between billows
199 (Figs. 1I and 1J). Brightness of NLC splintered curves starts to oscillate around a mean value
200 that is in agreement with the variation of density and horizontal velocity through billows in
201 the laboratory experiment by Thorpe (1973). At the same time, turbulent area with splintered
202 curves, dark patches and vorticity structure in Images 278 and 279 resembles mainly the
203 turbulent field after the breaking of a KH billow in 3-D simulations by Palmer et al. (1996)
204 and Werne and Fritts (2001).



205
 206 **Fig. 1.** Instability at the interface between water and brine with $\Delta=2.9 \cdot 10^{-2}$ g/cc having a layer of
 207 dye at the interface. The photographs are taken from a 16 mm ciné film and are in negative so
 208 that the dye appears white. The upper part of each shows the plane view as seen through a mirror
 209 arranged at 45° to the horizontal and the lower part is a direct view. The tube has an internal
 210 cross-section of 3×10 cm. The first photograph is taken at 2.5 sec after tube has been tilted
 211 through 8.2° , and subsequent photographs are taken at 0.2 sec intervals. From Thorpe (1971),
 212 their Fig. 5 (Reproduced with permission of Cambridge University Press).



213
 214 **Plate 2.** Evolution of the Kelvin-Helmholtz wave in noctilucent clouds. Image 270 is taken at
 215 03:14 local time on 4 July 2008, and subsequent images are taken at 1 minute intervals.
 216 Two small-scale holes are marked by 1 and 2 in Images 275 and 276; their diameter is in the
 217 range of 800 and 1400 meters. Two larger holes (bounded by horseshoe-like loops) are marked
 218 by 3 and 4 in Images 275 and 276; their diameter in Image 275 is 1650 m and 2400 m,
 219 respectively. Two largest horseshoe-like loops are marked by 5 and 6 in Images 276 and 277;
 220 their diameter in Image 277 is 3550 m and 4600 m, respectively. The horseshoe-like structures
 221 are marked by 7 and 8 in Images 287 and 288. The structure 7 is represented by the cascade or
 222 packet of the three horseshoe structures marked by 7.1, 7.2, 7.3 in Image 288; diameter of the
 223 loops 7.1, 7.2 and 7.3 is 2150 m, 3100m and 3300 m, respectively. The distance between the
 224 necks of the horseshoe vortices is in the range of 2800 and 3050 meters.

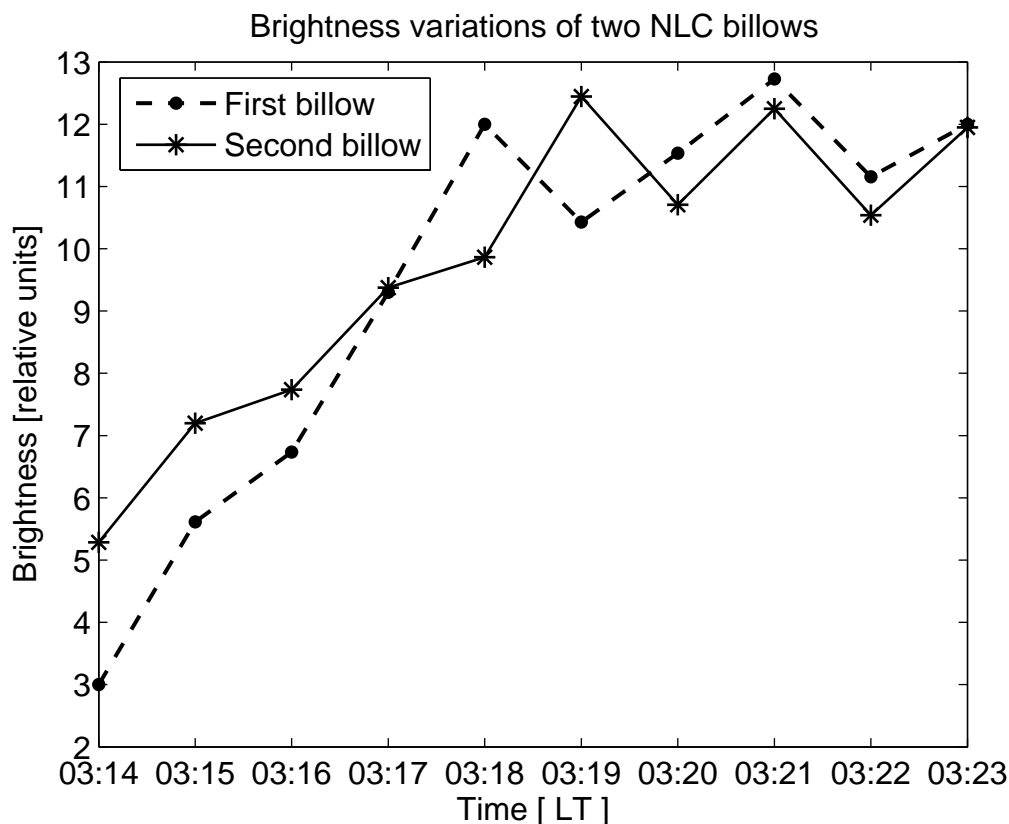


Fig. 2. Brightness variation (after subtracting the background) of the most pronounced two NLC billows seen in images 270-279.

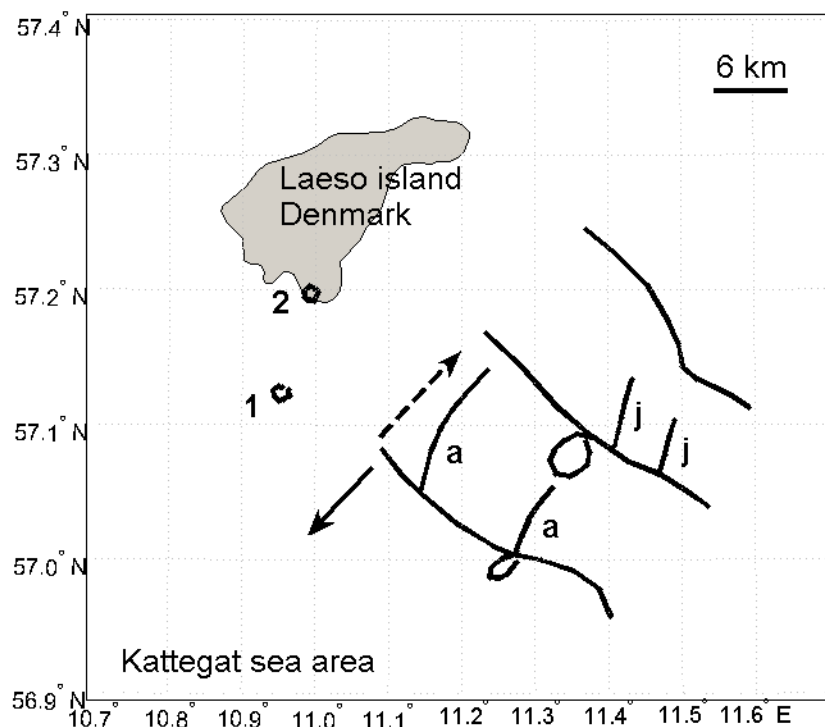
Another set of similar KH waves has been observed in Images 280-290 (not shown except Images 287 and 288, Plate 2). It is important is that the second system of billows has been generated and located in the area of the mesosphere (relative to the ground) where the first one was observed. Again, similar two horseshoe-like loops (marked by 7 and 8) are observed in Images 287 and 288, 12 minutes after the first ones seen in Images 275 and 276. This confirms that there was a steady shear layer in the given area of the mesosphere, generating the KH wave and successive turbulence. The projection on the ground of the KH wave, small holes, jets, arcs seen in Images 275 and 276 is shown in Fig. 3.

We cannot estimate the parameters of vertical wind shear, but we presume its presence from analogy with the KH billows in tropospheric clouds, that commonly occur in the presence of vertical wind shears. The presence of vertical wind shear in NLCs is confirmed by the occurrence of horseshoe-like structures, which are 3D objects and are naturally inclined at 30-60 degrees to a main flow (Adrian, 2000). The horseshoe-shaped turbulent vortices are seen in Images 275-279, as well as the cascade of the horseshoe-shaped vortices arranged in the streamwise direction (7.1, 7.2, 7.3) is evident in Images 287 and 288. Description of these structures is given in the Discussion.

5. Analysis and characteristics of turbulent areas in NLC

Now we want the reader to pay attention to another NLC feature seen at the vicinity of the KH wave. Images 275 and 276 on Plate 2 (and their repeatedly enlarged parts on Plate 3)

250 demonstrate not only the large holes on the top of the billow waves but also two smaller holes
 251 (marked by 1 and 2 on Plates 2 and 3 and in Fig. 3) located slightly away (to the left) from the
 252 sharp (well identified) parts of the billows. At the same time, a closer inspection of the images
 253 reveals that these holes are still placed on the degraded parts of the wave crests. Note that the
 254 large holes and small holes are of similar origin, that is these are turbulent vortexes; but the
 255 strength of vorticity, that is the perturbation vorticity and perturbation velocities (and in turn,
 256 density and temperature disturbances) in these structures are different. This results in different
 257 dynamics of the large and small holes.



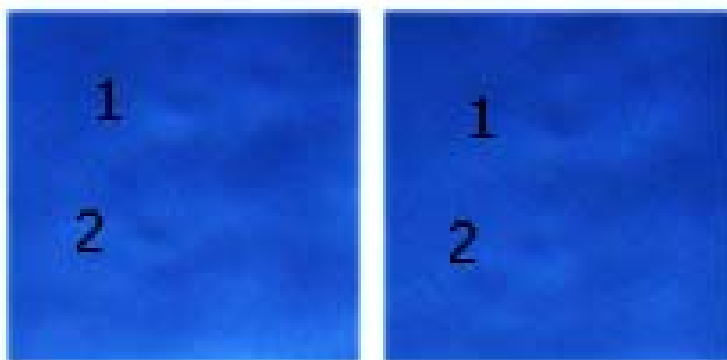
258
 259 **Fig. 3.** Projection of the KH wave on the Earth's surface; two holes on the top of the crests
 260 are indicated, two smaller holes (marked by 1 and 2) are slightly apart from the wave; two jets
 261 and two arcs seen in NLC in Image 275 and 276 are marked by *j* and *a*, respectively. The solid
 262 arrow indicates the apparent direction of the wave billows; the dashed arrow shows the direction
 263 of the wave billows relative to ambient NLC areas.

264
 265 A rather large uncertainty in determining the position of the boundaries of fine structures of
 266 NLC comes from the movement of fine structures at a high velocity of about 100 m/s and from
 267 rather long 8-second exposure time; these factors tend to smear out the boundaries of small-scale
 268 features. Nevertheless it is still possible to define the boundaries by tracing the pixels of equal
 269 brightness in the middle of the smeared boundary of a fine structure.

270 The small holes can be represented either by turbulent eddies or by cellular convection.
 271 It would be possible to speculate about the cellular convection (cellular convective areas) but
 272 such phenomenon is of different scales in the mesosphere, with cells from 10 km and larger in
 273 radius (Trubnikov and Skuratova, 1967). In present case we deal with small holes which are less
 274 than 700 meters in radius. Also, please note that no well-defined cellular convective field has

275 been observed in the NLC images (as it is usually seen in tropospheric clouds), there have
 276 occurred a few numbers of sporadic turbulent holes in the NLC layer.

277 In the case of turbulent eddies, turbulent motions of the NLC surrounding areas around a
 278 hole should disperse the bounds of a hole, and a small hole will be reduced in size and even
 279 closed. The physics of this process is the same as that responsible for dispersion of artificial
 280 clouds in the mesosphere (a cloud of chemical release or cloud of metalized chaff). The summary
 281 of these techniques is well described by Lübken (1993). But the behavior of a dispersed cloud
 282 and a hole is different: while the former grows in size, the latter is reduced. Indeed Images 275
 283 and 276 (see their enlarged parts in Plate 3) illustrate that the holes are shrinking and completely
 284 disappear in Image 277.
 285



286
 287 **Plate 3.** Repeatedly enlarged part of Image 275 and 276 with two small holes. Small-scale holes
 288 analyzed are marked by 1 and 2.
 289

290 There can be three reasons responsible for observed hole shrinking. The first one is a
 291 turbulent motion of the surrounding boundaries of the hole; the second one is the wave motion;
 292 the third one is the formation of new ice particles. However, the third process does not contribute
 293 much because growth rate of ice particles is too slow (the rate of change of radius of a spherical
 294 particle is 3.08×10^{-12} m/s, Gadsden and Schröder, 1989) and it takes about 10 hours for a particle
 295 to grow to a radius of about 100 nm, but in present case we deal with times of two-three minutes.
 296 Therefore next we consider the first two processes.
 297

298 **5.1 Turbulent diffusion at large scales beyond the inertial subrange of the turbulent** 299 **spectrum**

300 Let's suppose the decreasing area of the hole is solely controlled by the turbulent diffusion of
 301 ice particles of the surrounding areas. Then for the turbulent motions at large scales beyond the
 302 inertial subrange (approximately 10-200 meters in the middle atmosphere), the time dependence
 303 of the cloud radius (or hole radius), $\sigma(t)$, is described as follows (Batchelor, 1950; Lübken,
 304 1993):

$$305 \quad \sigma^2(t) = 4 \cdot K_I \cdot t \quad (1)$$

306 where K_I is the turbulent diffusion coefficient. For 1 minute (between Images 275 and 276) the
 307 radius of the first and second hole is decreased from 700 to 500 meters and from 550 to 400
 308 meters, respectively. Even if Eq. (1) is applicable to the decrease of the area of the hole, it would
 309 yield diffusion coefficients of $1000 \text{ m}^2/\text{s}$ and $594 \text{ m}^2/\text{s}$, respectively. Indeed, these values are too
 310 large compared to experimental and theoretical estimations of the turbulent diffusion coefficient

311 in the summer mesosphere ranging between 2 and 200 m²/s (Thrane et al., 1985; Lübken, 1997;
 312 Gavrilov and Jacobi, 2004). It has been noted by Lübken (1993) that "...non-turbulent processes,
 313 such small-scale gravity waves and wind shears, may contribute to the observed cloud
 314 dispersion". In this case one needs to redefine the time dependence of the radius of the hole in the
 315 following way:

$$316 \quad \sigma^2(t) = 4 \cdot K_1 \cdot t \pm r^2(t) \quad (2.1)$$

317 where $r(t)$ is the hole radius changing due to non-turbulent process; plus and minus sign
 318 corresponds to the decrease and increase of the area of the hole, respectively. As will be shown
 319 below, we deal with the decrease of the area of the hole due to non-turbulent process and
 320 therefore we keep the plus sign only in the successive analysis. It is more convenient (for further
 321 consideration) to rewrite Eq. (2.1) in the form of:

$$322 \quad S(t) = 4 \cdot \pi \cdot K_1 \cdot t + S_n(t) \quad (2.2)$$

323 where $S(t)$ is the measured decreasing of the area of the hole, $S_n(t)$ is the decreasing of the area
 324 of the hole due to non-turbulent processes.

325 The presence of the non-turbulent motion can be inferred from measuring the distance
 326 between the centers of the observed two holes. Images 275 and 276 provide information on the
 327 distance between the centers of the holes which is decreased from 8.39 km to 7.34 km in 1
 328 minute time interval. From this one can deduce the mutual speed of the holes' motion
 329 (perpendicular to the wave phase front) which is equal to 17.5 m/s.

330 If we suppose that such a speed is equal to the difference of speeds of opposite sides of a hole
 331 due to the difference in speeds at the leading edge of a local sudden wind gust, this would lead to
 332 a decrease of the area of a hole; the first hole of approximately 1.4 km in diameter would
 333 completely disappear in less than 1.5 minute, and the second hole of 1.1 km in diameter would
 334 disappear in about 1 minute. But the two holes exist during at least 1 minute without dramatic
 335 decrease of the area. Thus, local wind gusts cannot be a reason for decreasing the size of the
 336 holes in the present case.

337 On the other hand, one can suggest that the speed of 17.5 m/s is the mutual speed difference
 338 of two points (separated by ~8 km) in sinusoidal horizontal velocity perturbation of a gravity
 339 wave. The wavelength of the regarded wave is 10-12 km, and the velocity amplitude is likely
 340 about 10 m/s, which is in the range of amplitude velocities of short period gravity waves in the
 341 summer mesosphere (Manson et al., 1981; Reid and Vincent, 1987; Manson et al., 2004). In this
 342 case, one can expect that two points of the wave separated by 1.1-1.4 km (the diameters of the
 343 holes) could have the mutual speed difference in the range of 1-7 m/s depending on the phase
 344 difference; on average, one can assume speeds of 3-5 m/s. One can consider the decrease of the
 345 area of the hole as due to the moving of one semi-circle to another one (Fig. 4). Such a
 346 consideration is supported by the observational fact that the first and second holes have an
 347 elliptical form with eccentricity of 0.45 and 0.63, respectively, with major axes being oriented
 348 approximately perpendicular to the direction of the mutual moving of semi-circles.

349 Then a simple geometrical consideration provides the following equation for decreasing of
 350 the area of the segment (the hatched area in Fig. 4) between two semi-circles:

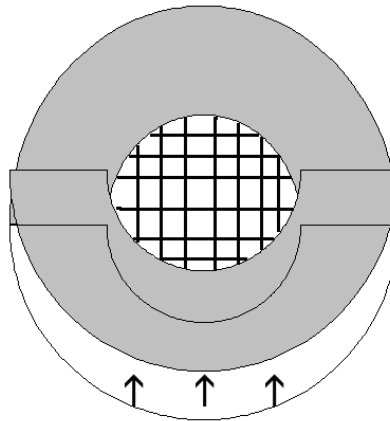
$$351 \quad S_n(t) = \pi \cdot R^2 - 2 \cdot (R^2 \cdot \arccos((R - h(t))/R) - (R - h(t)) \cdot \sqrt{2 \cdot R \cdot h(t) - h^2(t)}) \quad (3.1)$$

352 where

$$353 \quad h(t) = (2 \cdot R - x(t))/2 \quad (3.2)$$

$$354 \quad x(t) = v \cdot t \quad (3.3)$$

355 where R is the radius of the semi-circles (that is the initial radius of the hole), v is the speed of
 356 one semi-circle relative to another one, t is the time elapsed from beginning of the approach. As
 357 the initial radius we take the average radius of the first and second hole of 700 and 550 m,
 358 respectively, (measured in Image 275), speed of 3-5 m/s (assumed above) and time of 60 seconds
 359 (between the two successive images). Then Eqs. (3.1-3.3) yield the decrease the area of the first
 360 and second hole in the range of $2.5 \cdot 10^5 - 4.2 \cdot 10^5 \text{ m}^2$, and of $2.0 \cdot 10^5 - 3.3 \cdot 10^5 \text{ m}^2$, respectively, due
 361 to non-turbulent process. By using Eq. (2.2) and subtracting these values from the measured
 362 decreasing of the square of the holes, one can obtain the turbulent diffusion coefficients (K_1) in
 363 the range of $447-667 \text{ m}^2/\text{s}$ for the first hole and of $162-332 \text{ m}^2/\text{s}$ for the second hole. These values
 364 are rather close to and even partly within the range of values of the turbulent diffusion coefficient
 365 reported in previous studies.



366
 367 **Fig. 4.** Schematic picture of the observed hole represented by two semi-circles; the lower
 368 semi-circle moves to the upper one. The hatched area is the square of the segment estimated.
 369

369

370 **5.2 Turbulent diffusion at medium scales of the turbulent spectrum**

371

372 Now we regard scales between 400 and 700 meters which can also be attributed to the inertial
 373 subrange of the turbulent spectrum in the middle atmosphere, limits of that are poorly known and
 374 can vary. In this case, the cloud radius changes as (Lübken (1993)):

$$375 \quad \sigma^2(t) = c \cdot \varepsilon \cdot t^3$$

376 where $c \approx 0.6$ is a constant and ε is the turbulent energy dissipation rate. From this relation, one
 377 can estimate ε which is equal to:

378 - for the first hole (from 700 to 500 m): $\varepsilon = 828-1235 \text{ mW/kg}$ for the wave motion subtracted, and
 379 1852 mW/kg for no wave motion;

380 - for the second hole (from 550 to 400 m): $\varepsilon = 300-615 \text{ mW/kg}$ for the wave motion subtracted,
 381 and 1100 mW/kg for no wave motion.

382 Then the turbulent diffusion coefficient for the transition range between the inertial subrange
 383 and larger scales is determined as follows:

$$384 \quad K_2 = c_1 \cdot \varepsilon^{1/3} \cdot l^{4/3}$$

385 where $c_1 \approx 1$ is a constant, and l is the scale of diffusion.

386 This relation yields the following estimations of K_2 :

387 - for the first hole (from 700 to 500 m): $K_2 = 1098-1255 \text{ m}^2/\text{s}$, with wave motion subtracted.

388 - for the second hole (from 550 to 400 m): $K_2 = 533-677 \text{ m}^2/\text{s}$, with wave motion subtracted.

389 One can see that the K_2 values are larger than K_1 values; the same effect was described by
390 Lübken (1993) and the author noted “The ε values were therefore empirically reduced so that K_2
391 agrees with K_1 ”.

392 Thus, for the present case, the dependence of the hole radius change is described better (a
393 value of the turbulent diffusion coefficient is less) if the turbulent diffusion is considered at larger
394 scales beyond the inertial subrange.

395

396 **6. Discussion**

397

398 We have considered a unique case of the NLC display observed from Denmark. The unique
399 case is due to the occurrence of bright and extensive NLC at high elevation angles (more than 30
400 degrees) as well as the observations of the evolution of the KH wave and the subsequent
401 transition to turbulence. The KH wave evolution resembles the laboratory experiment on the KH
402 wave performed by Thorpe (1971), and turbulent regime resembles the turbulent field and
403 vorticity structures in 3-D simulations of the evolution of the KH wave (by Palmer et al., 1996;
404 Werne and Fritts, 2001).

405 In general, both laboratory and modeling studies demonstrate very similar behavior of the
406 initial and main phase of the KH evolution. Major differences in 3-D KH simulations occur
407 during transitions to turbulence (Fritts and Alexander, 2003). In our case we have observed small
408 turbulent areas (holes), fragmentized KH billows and splintered curves, large lacerated dark
409 turbulent areas and horseshoe-shaped coherent eddies.

410 Small turbulent holes (of about 1-1.4 km in diameter) have been formed within or close to the
411 KH billows. By size estimations of turbulent areas the turbulent diffusion coefficient can be
412 deduced. At the same time, it has been demonstrated that it is of importance to take into account
413 non-turbulent process such as the gravity wave motion that is always present in NLC layers.
414 Unless non-turbulent process is taken into account, this certainly leads to overestimation of the
415 value of the turbulent diffusion coefficient. In the present study, we do not insist on a
416 quantitatively correct estimation of the turbulent diffusion coefficient (it is not possible due to
417 low spatial and temporal resolution of present data) but we demonstrate such a principal
418 possibility for estimating of the turbulent diffusion based on a series of NLC images with
419 evolving small-scale eddies. More precise estimation will be done in future with high resolution
420 (in space and time) images.

421 Watkins et al. (1988) estimated turbulence energy dissipation rates using simultaneous rocket
422 and radar data from the STATE experiment and found typical values in the range of 50-150
423 mW/kg, with one case (15 June 1983) of very strong neutral turbulence being equal to about 1000
424 mW/kg at 87 km. Such intense turbulence lasted a few minutes but still was clearly presented in
425 the data. Please note that authors registered a high level of turbulence with ε more than 200
426 mW/kg at 85 km for another case on 16 June 1983, and such large values sustained for a long
427 period of about 4 hours. The essential point is that most intense turbulence is observed in regions
428 where wave field and amplitudes are unstable (Fritts et al., 1988). We do observe turbulent
429 structures in the vicinity of breaking small-scale gravity waves that seems to be responsible for a
430 high level of turbulence.

431 Lübken (1993) demonstrated the following measured turbulent parameters around the
432 summer mesopause (page 139). On 9 Aug 1991, between 82-84 km, the turbulent energy
433 dissipation rate (ε) was about 0.4 mW/kg. For another case, 1 Aug 1991, with strong PMSE
434 between 84-91 km, ε was about 3 mW/kg for the altitude range of 82-83 km. For another
435 experiment on 28 July 1993, Lübken et al. (1994) showed strong neutral air turbulence with

436 $\varepsilon=630$ mW/kg inside a PMSE layer between 84.5-85.5 km. This ε -value perfectly matches our
 437 estimations of ε of 300-615 mW/kg for the second small hole. Thus, one can conclude that the
 438 turbulent energy dissipation rate can change significantly by three orders of magnitude between
 439 82 and 85 km, that is exactly the NLC altitude range. Since $K \sim \varepsilon^{1/3}$ for the inertial subrange, K
 440 can readily change and increase by a factor of 10 in a case of strong turbulence, which probably
 441 took place in the present case study.

442 Horseshoe- or hairpin-like structures have been studied by direct numerical simulations (Gerz
 443 et al., 1994) and with experimental observations (Head and Bandyopadhyay, 1981; Adrian et al.,
 444 2000). The horseshoe vortex is a simple coherent vorticity that develops due to stretching and
 445 rotation by the mean shear rate. These are 3D structures which are usually inclined at 30-60
 446 degrees to a main flow (Adrian et al., 2000). Such a vortex represents the dynamically most
 447 active parts of the sheared turbulent flow, since it controls the fluxes of momentum, heat and
 448 species (Gerz et al., 1994). The head, necks and legs are usually supposed to discern in the
 449 hairpin- or horseshoe-like structure. In our case the legs of such a structure are hardly visible, but
 450 the head and necks are clearly seen in Images 275-279 as well as in 287 and 288. The distance
 451 between the necks of the horseshoe vortices (the diameter of the visible loops) varies in the range
 452 between 1650 and 4600 meters. Image 288 demonstrates one more interesting feature, namely the
 453 cascade or packet of the three horseshoe structures (marked by 7.1, 7.2, 7.3) oriented in the
 454 streamwise direction; diameter of the loops 7.1, 7.2 and 7.3 is 2150 m, 3100m and 3300 m,
 455 respectively. Adrian et al. (2000) have observed similar coherently (spatially) arranged vortical
 456 structures, spaced typically several hundred viscous lengthscales apart in the streamwise
 457 direction. In our case the viscous lengthscale in the environment of the shear layer and horseshoe
 458 structures is of the order of 10 m (estimated as the ratio of the kinematic viscosity to the friction
 459 velocity) and the distance between the heads of these structures is 3050 and 2800 m; thus the
 460 observed distance between the NLC horseshoe structures in the packet perfectly matches the
 461 distance between hairpin-like structures in the packet found in the laboratory experiment by
 462 Adrian et al. (2000).

463 As a rule, hairpin vortices occur in fully developed wall-bounded turbulent shear flows
 464 Suponitsky et al. (2005). However, it is not a necessary and sufficient condition. The authors
 465 performed model studies and clearly demonstrated that the coherent structures (streaks and
 466 hairpin/horseshoe vortices) can form and evolve in a laminar uniform unbounded shear flow. The
 467 authors noted: "The common elements for all such flows are the shear of the base flow and the
 468 presence of a localized vortical disturbance." The KH instability forms under the presence of a
 469 shear layer. Also, we demonstrate the region containing both breaking KH waves and signatures
 470 of a turbulent flow (lacerated unformed structures and spots, small-scale holes/eddies, horseshoes
 471 vortices and even a cascade of horseshoes vortices). It requires, at least, a region around the
 472 mesopause filled with a shear layer and localized small-scale vortical disturbances.

473 Similar fine horseshoe-like structures in NLC were captured recently on July 13/14 and 14/15
 474 of 2009 during exceptional NLC displays in Lithuania from two points with cameras operating in
 475 synchronous shooting regime. The data analysis is in progress and will allow 3D recovery of the
 476 turbulent characteristics.

477 Note that we consider a simple mechanistic approach considering the dynamics of the holes
 478 photographed with low spatial (~ 135 meters), low temporal resolution (60 seconds) and with
 479 rather long 8-second exposure time (that leads to smearing out the boundaries), but even such a
 480 simple approach provides reasonable estimates of the turbulent diffusion coefficient when the
 481 gravity wave motion is taken into account. More accurate estimates can be obtained by analysing

482 the sequence of successive images taken at short exposure times (1-2 seconds), with high
 483 temporal (of 5 seconds) and spatial resolution (of 10 meters), that is relatively easy to achieve by
 484 using modern commercial digital cameras of more than 5 Mp and with a teleobjective with a
 485 focal length of about 150 mm. In this case one can measure more precisely the size of turbulent
 486 areas in NLC as well as input of the wave motion, and finally deduce the time dependence of the
 487 turbulent process at different scales. Such a technique will be used in the future to study turbulent
 488 areas in NLC at high elevation angles.

489 Also, a particular interest is to resolve the formation of turbulent areas from the very
 490 beginning, which seem to occur rather fast in less than one minute or maybe even during several
 491 seconds. For this the temporal resolution of 1 second is required, that is possible to achieve with
 492 high sensitivity and high frame rate digital cameras produced for industrial and scientific
 493 purposes. This technique is of low cost compared to other techniques applied for studies of
 494 turbulent regime in the middle atmosphere.

495

496 **7. Conclusions**

497

498 We can summarize the following. A local wind shear had occurred around the mesopause
 499 which excited a series of Kelvin-Helmholtz waves seen in noctilucent clouds. The billows had
 500 wavelength of 10-12 km and lifetime of 6-7 minutes. The billow evolution led to the formation of
 501 turbulent area with a mixture of the splintered curves, large lacerated dark areas as well
 502 horseshoe-shaped vortical coherent structures of 1.5-3.5 km in diameter.

503 There were created pairs of very small turbulent holes of about 1-1.5 km in diameter which
 504 were covered by NLC surrounding areas in 2 minutes. This allowed us to estimate the turbulent
 505 diffusion coefficient (taking into account non-turbulent process) in the range of 162-667 m²/s and
 506 the turbulent energy dissipation rate of 300-1235 mW/kg. These values are rather close and partly
 507 within the range of turbulent parameters inferred from previous experimental and theoretical
 508 studies (2-200 m²/s and 0.1-1000 mW/kg) for the summer mesosphere, and represent a case of
 509 strong neutral air turbulence in the vicinity of breaking small-scale gravity waves in noctilucent
 510 clouds.

511

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513

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519

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